


Worldwide Stock Transfer, LLC – Winter Newsletter

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“FAST” Lane



Warren Buffet once said “price is what you pay, and value is what you get”. If this is the case, then I would chose value when it comes to stock transfer services. Recently, Worldwide Stock Transfer, LLC has become the latest transfer agent to join Depository Trust Corporations “FAST” program. FAST is an acronym for Fast Automated Securities Transfer system, which enables us to electronically transfer unrestricted shares via Cede & Co (DTC’s nominee name) to participating broker-dealers, rather than issuing paper certificates.

Although there are many functions at DTC for issuing and transferring shares, there are two distinct methods used amongst transfer agents and broker/dealers to electronically transfer stock to and from a shareholders account. The first method is known as DWAC. “DWAC” stands for Debit Withdrawal at Custodian, and is an electronic method of transferring shares on the books of the transfer agent between participating broker/dealers using the DTC’s FAST program, with the transfer agent serving as a custodian.

One example of a DWAC is as follows: An issuer/company can instruct the transfer agent to directly issue unrestricted shares to a holders brokerage account electronically via DTC. The issuer must provide written instructions and broker/dealer must initiate the transaction using the FAST system.


Another example would be a shareholder sending the transfer agent their unrestricted certificated shares, along with written instructions and an executed stock power form to



be electronically sent directly to his/her broker. Again, the broker/dealer must initiate the transaction via the FAST system.

The second method of electronically transferring shares from an issuers transfer agent to a broker/dealer using DTC’s FAST system is the method of “DRS”, or Direct Registration System. DRS is an electronic system for registering stock with an issuers/company’s transfer agent. These shares are in electronic book-entry format, and can be transferred to and from a brokerage account. Initiation instructions from the broker/dealer are required, however, no executed stock power form is needed, due to the fact there is an automatic indemnification between the transfer agent & DTC.

Now that we have totally confused you with the politically correct terminology of DWAC and DRS, here is an easier way of looking at things. First and foremost when it comes to transferring shares, there is no such thing as DTC’ing shares through Depository Trust Company. It is either the method of DWAC, DRS, FRAC, SCL, or WT. The most common being, SCL, DWAC & DRS.



Here is the best way to differentiate the three.

***DWAC** usually refers to newly issued or already certificated shares to be electronically transferred. Key words being newly issued & already certificated.

***DRS** shares are already issued electronically on the books of the transfer agent or held electronically at the broker/dealer. Key words being already electronically issued.

***SCL** stands for shipment control list which is basically a manifest to credit a participants (broker/dealer) account at DTC usually with paper physical shares in the name of Cede & Co, although an SCL can indicate electronic transfer instructions.

All in all, now that Worldwide Stock Transfer is participating in DTC’s FAST program, this gives us the ability to broaden our horizons and take on NASDAQ, AMEX & NYSE listed issues, while still offering excellent customer service at low all-inclusive prices; which in turn provides added value to your company.

WST Welcomes

Baeta Corporation
Ingen Technologies, Inc.
Pinpinhoa Corporation

Quick facts about Worldwide Stock Transfer, LLC

Registered FAST agent with Depository Trust Company (DRS & DWAC capable)

Registered with the U. S. Securities & Exchange Commission (SEC)

Member of the Securities Transfer Association (STA)

Member of the Better Business Bureau of New Jersey (BBB)

“Personalizing the Needs of Stock Transfer Services”

- Experienced
- Professional
- Highly Efficient
- Advanced Networking



- Quick Turnaround
- Resourceful
- Informative
- Technologically Sound

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Our Services

Our business philosophy is deeply rooted in our conviction to keep the client satisfied by providing them with only the highest quality of stock transfer services. We stand side-by-side with our customers to get the job done to their complete satisfaction. Our highly experienced staff and networking affiliates have an unlimited amount of resources that will not only fulfill your every stock transfer need, but also walk you through the process every step of the way. Here are some of the many stock transfer services we provide, using state-of-the-art technology.

- Complete Securities Transfer Services; **including DRS & DWAC Transactions**
- Accurate Record Keeping & Account Maintenance
- Annual/Special Meeting Coordination
- Inspector of Election Services
- Corporate Actions
- Cash & Stock Dividends
- Restricted Stock
- Shareholder Relations
- Cost Savings
- Compliance & Security
- White-Labeling Services for Non-FAST Transfer Agents

The Daily Double

Current Clients – Refer our business and receive 1 month free off your composite fee once a client is officially signed on.

All Third Parties – Refer our business and receive up to \$500 as a marketing fee once a client is officially signed on.

.....And not to mention all of our clients , always receive the following services for **FREE!**

-Unlimited Shareholder Reports -Unlimited Stock Issuances

-Online Services for Clients & Shareholders -Maintenance of Related Classes of Stock Accounts

- Maintenance of Previously Un-Exchanged Reorganization Files

*WST is registered to conduct stock transfer transactions with the Securities & Exchange Commission (SEC), the Depository Trust Company (DTC) & is a member of the Securities Transfer Association (STA) & Better Business Bureau (BBB).